



General Assembly

Amendment

January Session, 2003

LCO No. **6953**

HB0533706953HD0

Offered by:

REP. DAVIS, 50th Dist.

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To: House Bill No. **5337**

File No. 434

Cal. No. 289

***"AN ACT CONCERNING PERFORMANCE-INFORMED
BUDGETING OF STATE AGENCIES."***

1 Strike everything after the enacting clause and substitute the
2 following in lieu thereof:

3 "Section 1. Section 4-67r of the general statutes is repealed and the
4 following is substituted in lieu thereof (*Effective July 1, 2003*):

5 (a) There is created a Connecticut Progress Council. [The]
6 Notwithstanding the provisions of this subsection, the terms of all
7 members of the council who are serving on June 30, 2003, shall expire
8 on said date. On and after July 1, 2003, the council shall consist of the
9 following members: The Lieutenant Governor, the Secretary of the
10 Office of Policy and Management, the Commissioners of Social
11 Services, Transportation, Education and Economic and Community
12 Development; the president pro tempore of the Senate, the speaker of

13 the House of Representatives, the minority leader of the Senate, the
14 minority leader of the House of Representatives, the majority leader of
15 the Senate and the majority leader of the House of Representatives, or
16 their designees; the chairpersons and ranking members of the joint
17 standing committee of the General Assembly having cognizance of
18 matters relating to planning and development; a representative of a
19 nonprofit municipal research organization, a representative of a state-
20 sponsored economic advisory body, a representative of a major labor
21 organization, a representative of a manufacturing concern, a
22 representative of a service-related business and a representative of a
23 financial service company, one appointed by the president pro
24 tempore of the Senate, one by the speaker of the House of
25 Representatives, one by the majority leader of the Senate, one by the
26 majority leader of the House of Representatives, one by the minority
27 leader of the Senate and one by the minority leader of the House of
28 Representatives and six members appointed by the Governor, one
29 representing medical services, one a major public or private university,
30 one a major nonprofit organization, one a state employees' bargaining
31 unit, one an environmental organization and one a business research
32 organization. [The first meeting of the council shall be called on or
33 before November 1, 1993, by the Secretary of the Office of Policy and
34 Management.] On or after July 1, 2003, but not later than November 1,
35 2003, the Lieutenant Governor shall convene a meeting of the council.
36 The council shall elect a chairman from its members at the first
37 meeting held on or after July 1, 2003.

38 (b) The council shall develop a long-range vision for the state and
39 define benchmarks to measure progress to achieve the vision. The
40 vision shall address areas of state concern, including, but not limited
41 to, the areas of economic development, human resources and services,
42 education, health, criminal justice, energy resources, transportation,
43 housing, environmental quality, water supply, food production and
44 natural and cultural resources. In developing the vision the council
45 shall conduct public hearings, public meetings and workshops to
46 insure the participation of a broad cross-section of the state's

47 population. A public hearing shall be held on the vision and proposed
48 benchmarks. The council may establish advisory committees to assist it
49 in accomplishing its duties under this section. Membership on any
50 advisory committee may include persons who are not members of the
51 council. Not later than July 1, 2004, and quadrennially thereafter, the
52 council shall review and revise the vision and benchmarks.

53 (c) Upon request of the council, a state department [or] and a
54 nonpartisan legislative office shall jointly provide the necessary
55 personnel and resources to assist the council in performing its tasks in
56 accordance with this section.

57 (d) On or before [February 1, 1994] July 1, 2004, and quadrennially
58 thereafter, the council shall submit a report to the General Assembly
59 which describes its activities and makes recommendations concerning
60 the vision and benchmarks.

61 (e) On or before July 1, [1994] 2004, and [biennially] quadrennially
62 thereafter, the council shall submit the benchmarks to the Secretary of
63 the Office of Policy and Management and the General Assembly for
64 use in developing and reviewing the budget.

65 [(f) On or before February 1, 1996, the Secretary of the Office of
66 Policy and Management shall submit a report to the joint standing
67 committees of the General Assembly having cognizance of matters
68 relating to planning and development and the appropriations and
69 budgets of state agencies and to the council which recommends a plan
70 for the use of benchmarks in developing the budget for the biennium
71 beginning July 1, 1997, and for each biennium thereafter.]

72 Sec. 2. Section 4-67m of the general statutes is repealed and the
73 following is substituted in lieu thereof (*Effective July 1, 2004, and first*
74 *applicable to the fiscal year commencing July 1, 2005*):

75 (a) Not later than November 1, 2004, and biennially thereafter, each
76 budgeted state agency shall (1) examine the benchmarks established
77 pursuant to section 4-67r, as amended by this act, (2) identify the

78 benchmarks that are within the agency's cognizance, and (3) inform the
79 Secretary of the Office of Policy and Management of such benchmarks.

80 (b) The Secretary of the Office of Policy and Management shall
81 examine the benchmarks identified pursuant to subsection (a) of this
82 section and shall determine which budgeted state agencies have
83 cognizance of each benchmark. If more than one budgeted state agency
84 shares cognizance of a benchmark, the secretary shall determine which
85 agency shall be the lead coordinating agency for that benchmark.

86 [(a)] (c) The Office of Policy and Management, in consultation with
87 each budgeted state agency and the Legislative Office of Fiscal
88 Analysis, shall develop, for state budgeting purposes, specific biennial
89 goals and objectives and quantifiable outcome measures, which shall
90 not be limited to measures of activities, for each program, service and
91 state grant administered or provided by such agency. [The Secretary of
92 the Office of Policy and Management shall submit an annual report
93 concerning such goals, objectives and measures to the joint standing
94 committee of the General Assembly having cognizance of matters
95 relating to appropriations and the joint standing committee having
96 cognizance of matters relating to the agency. For the biennium
97 beginning July 1, 1995, and for each biennium thereafter, the annual
98 report shall include an evaluation of the impact of each program,
99 service and state contract on the family.]

100 [(b)] (d) The goals, objectives and measures developed for each such
101 agency pursuant to subsection [(a)] (c) of this section shall be
102 implemented for the biennium beginning July 1, [1993] 2005. [The
103 Office of Policy and Management, in consultation with each such
104 agency, shall review and revise such goals, objectives and measures for
105 each biennium thereafter.]

106 [(c)] (e) For the biennium beginning July 1, [1995] 2005, and for each
107 biennium thereafter, the [annual report submitted pursuant to
108 subsection (a) of this section] Legislative Program Review and
109 Investigations Committee shall evaluate the progress of budgeted state

110 agencies in achieving the benchmarks established under section 4-67r,
111 as amended by this act.

112 Sec. 3. Section 4-73 of the general statutes is repealed and the
113 following is substituted in lieu thereof (*Effective July 1, 2004, and first*
114 *applicable to the fiscal year commencing July 1, 2005*):

115 (a) Part II of the budget document shall present in detail for each
116 fiscal year of the ensuing biennium the Governor's recommendation
117 for appropriations to meet the expenditure needs of the state from the
118 General Fund and from all special and agency funds classified by
119 budgeted agencies and showing for each budgeted agency and its
120 subdivisions: (1) A narrative summary describing the agency, the
121 Governor's recommendations for appropriations for the agency and a
122 list of agency programs, the actual expenditure for the last-completed
123 fiscal year, the estimated expenditure for the current fiscal year, the
124 amount requested by the agency and the Governor's recommendations
125 for appropriations for each fiscal year of the ensuing biennium; (2) a
126 summary of permanent full-time positions by fund, setting forth the
127 number filled and the number vacant as of the end of the last-
128 completed fiscal year, the total number intended to be funded by
129 appropriations without reduction for turnover for the fiscal year in
130 progress, the total number requested and the total number
131 recommended for each fiscal year of the biennium to which the budget
132 relates.

133 (b) In addition, programs shall be supported by: (1) The statutory
134 authorization for the program; (2) a statement of program objectives,
135 including, but not limited to, the specific goals and objectives
136 identified pursuant to section 4-67m, as amended by this act; (3) a
137 description of the program, including a statement of need, eligibility
138 requirements and any intergovernmental participation in the program;
139 (4) a statement of performance measures by which the
140 accomplishments toward the program objectives can be assessed,
141 which shall include, but not be limited to, an analysis of the workload,
142 quality or level of service and effectiveness of the program, and the

143 quantifiable outcome measures identified pursuant to section 4-67m,
144 as amended by this act; (5) program budget data broken down by
145 major object of expenditure, showing additional federal and private
146 funds; (6) a summary of permanent full-time positions by fund, setting
147 forth the number filled and the number vacant as of the end of the last-
148 completed fiscal year, the total number intended to be funded by
149 appropriations without reduction for turnover for the fiscal year in
150 progress, the total number requested and the total number
151 recommended for each fiscal year of the biennium to which the budget
152 relates; (7) a statement of expenditures for the last-completed and
153 current fiscal years, the agency request and the Governor's
154 recommendation for each fiscal year of the ensuing biennium and, for
155 any new or expanded program, estimated expenditure requirements
156 for the fiscal year next succeeding the biennium to which the budget
157 relates; and (8) an explanation of any significant program changes
158 requested by the agency or recommended by the Governor.

159 (c) There shall be a supporting schedule of total agency
160 expenditures including a line-item, minor object breakdown of
161 personal services, contractual services and commodities and a total of
162 state aid grants and equipment, showing the actual expenditures for
163 the last-completed fiscal year, estimated expenditures for the current
164 fiscal year and requested and recommended appropriations for each
165 fiscal year of the ensuing biennium, classified by objects according to a
166 standard plan of classification.

167 (d) All federal funds expended or anticipated for any purpose shall
168 be accounted for in the budget. The document shall set forth a listing
169 of federal programs, showing the actual expenditures for the last-
170 completed fiscal year, estimated expenditures for the current fiscal
171 year and anticipated funds available for expenditure for each fiscal
172 year of the ensuing biennium. Such federal funds shall be classified by
173 program in each budgeted agency but shall not include research grants
174 made to educational institutions.

175 (e) Part II of the budget document shall also set forth the budget

176 recommendations for the capital program, to be supported by
177 statements listing the agency's requests and the Governor's
178 recommendations with the statements required by section 4-78.

179 (f) The appropriations recommended for the legislative branch of
180 the state government shall be the estimates of expenditure
181 requirements transmitted to the Secretary of the Office of Policy and
182 Management by the Joint Committee on Legislative Management
183 pursuant to section 4-77 and the recommended adjustments and
184 revisions of such estimates shall be the recommended adjustments and
185 revisions, if any, transmitted by said committee pursuant to said
186 section 4-77.

187 Sec. 4. Subsection (a) of section 2-53g of the general statutes is
188 repealed and the following is substituted in lieu thereof (*Effective July*
189 *1, 2003*):

190 (a) The Legislative Program Review and Investigations Committee
191 shall: (1) Direct its staff and other legislative staff available to the
192 committee to conduct program reviews and investigations to assist the
193 General Assembly in the proper discharge of its duties; (2) establish
194 policies and procedures regarding the printing, reproduction and
195 distribution of its reports; (3) review staff reports submitted to the
196 committee and, when necessary, confer with representatives of the
197 state departments and agencies reviewed in order to obtain full and
198 complete information in regard to programs, other activities and
199 operations of the state, and may request and shall be given access to
200 and copies of, by all public officers, departments, agencies and
201 authorities of the state and its political subdivisions, such public
202 records, data and other information and given such assistance as the
203 committee determines it needs to fulfill its duties. Any statutory
204 requirements of confidentiality regarding such records, data and other
205 information, including penalties for violating such requirements, shall
206 apply to the committee, its staff and its other authorized
207 representatives in the same manner and to the same extent as such
208 requirements and penalties apply to any public officer, department,

209 agency or authority of the state or its political subdivisions. The
210 committee shall act on staff reports and recommend in its report, or
211 propose, in the form of a raised committee bill, such legislation as may
212 be necessary to modify current operations and agency practices; (4)
213 consider and act on requests by legislators, legislative committees,
214 elected officials of state government and state department and agency
215 heads for program reviews. The request shall be submitted in writing
216 to the Program Review and Investigations Committee and shall state
217 reasons to support the request. The decision of the committee to grant
218 or deny such a request shall be final; (5) conduct investigations
219 requested by joint resolution of the General Assembly, or, when the
220 General Assembly is not in session, (A) requested by a joint standing
221 committee of the General Assembly or initiated by a majority vote of
222 the Program Review and Investigations Committee and approved by
223 the Joint Committee on Legislative Management, or (B) requested by
224 the Joint Standing Committee on Legislative Management. In the event
225 two or more investigations are requested, the order of priority shall be
226 determined by the Legislative Program Review and Investigations
227 Committee; (6) retain, within available appropriations, the services of
228 consultants, technical assistants, research and other personnel
229 necessary to assist in the conduct of program reviews and
230 investigations; (7) originate, and report to the General Assembly, any
231 bill it deems necessary concerning a program, department or other
232 matter under review or investigation by the committee, in the same
233 manner as is prescribed by rule for joint standing committees of the
234 General Assembly; [and] (8) review audit reports after issuance by the
235 Auditors of Public Accounts, evaluate and sponsor new or revised
236 legislation based on audit findings, provide means to determine
237 compliance with audit recommendations and receive facts concerning
238 any unauthorized, illegal, irregular or unsafe handling or expenditures
239 of state funds under the provisions of section 2-90; and (9) direct its
240 staff and other legislative staff available to the committee to evaluate
241 the progress of budgeted state agencies in achieving the benchmarks
242 established under section 4-67r, as amended by this act."

This act shall take effect as follows:	
Section 1	<i>July 1, 2003</i>
Sec. 2	<i>July 1, 2004, and first applicable to the fiscal year commencing July 1, 2005</i>
Sec. 3	<i>July 1, 2004, and first applicable to the fiscal year commencing July 1, 2005</i>
Sec. 4	<i>July 1, 2003</i>